

Letter of Verification  
(Private Product Operations Department)

Date: \_\_\_\_\_

Exempt Market Dealer (EMD): \_\_\_\_\_

Exempt Market Dealing Rep: \_\_\_\_\_

Position with EMD: \_\_\_\_\_

Jurisdictions (Provinces) of EMD: \_\_\_\_\_

**Attention: Questrade, Inc.,**  
5650 Yonge Street  
Toronto, Ontario M2M 4G3

\_\_\_\_\_ (the "Client"), is purchasing the following products to be deposited/transferred into Questrade account number \_\_\_\_\_:

**Product List:**

\_\_\_\_\_  
\_\_\_\_\_

(1) I confirm that the statements and information provided below are true and are correct:

**Disciplinary Proceedings:**

I confirm that the EMD has not been and is not currently the subject of disciplinary proceedings conducted by a provincial securities commissions, the Investment Industry Regulatory Organization of Canada, or the Mutual Fund Dealers Association;

or,

The EMD has been or is currently the subject of disciplinary proceedings within the last five (5) years: (please list below)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(2) I acknowledge and confirm that the EMD has verified the Client is eligible for the following prospectus exemption under National Instrument 45-106:

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(3) The grounds for the exemption in paragraph (2) above are as follows:

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(4) Documentation and Records supporting the prospectus exemption detailed in paragraph (2) and (3) have been retained and will be provided to Questrade upon request.

(5) **(where the EMD has an offering memorandum)**

I have provided a copy of the Offering Memorandum (OM) of the EMD to the Client, and I have reviewed the OM with the Client.

(6) The EMD has performed all relevant “know-your-client” suitability and anti-money laundering obligations under applicable securities legislation or other laws. The EMD is not relying on Questrade to assess whether the investment is suitable for the client or that the client meets a valid prospectus exemption.

Yours very truly,

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(signature)